

**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

**2016**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**Open to Public Inspection**

**For calendar year 2016 or tax year beginning , 2016, and ending , 20**

Name of foundation <b>The Wallace Foundation</b>		<b>A</b> Employer identification number <b>13-6183757</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>5 Penn Plaza, 7th Fl</b>	Room/suite	<b>B</b> Telephone number (see instructions) <b>212-251-9700</b>
City or town, state or province, country, and ZIP or foreign postal code <b>New York, NY 10001</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>1,433,465,209</b>	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	1,867			
	<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	58,786	59,129		
	<b>4</b> Dividends and interest from securities	8,374,027	8,374,027		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	30,288,940			
	<b>b</b> Gross sales price for all assets on line 6a <b>511,982,166</b>				
	<b>7</b> Capital gain net income (from Part IV, line 2)		21,442,000		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule) See Statement 1	4,696	14,744,991			
<b>12</b> Total. Add lines 1 through 11	38,728,316	44,620,147			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	2,228,113	915,017		1,361,367
	<b>14</b> Other employee salaries and wages	5,397,599	495,739		4,822,455
	<b>15</b> Pension plans, employee benefits	2,383,208	278,753		1,971,117
	<b>16a</b> Legal fees (attach schedule) See Statement 2	78,009	21,355		60,953
	<b>b</b> Accounting fees (attach schedule) See Statement 3	161,000	80,500		84,050
	<b>c</b> Other professional fees (attach schedule) See Stmt 4	9,579,099	6,410,819		8,974,352
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions) See Statement 5	2,167,259	669,248		
	<b>19</b> Depreciation (attach schedule) and depletion Stmt 6	311,576			
	<b>20</b> Occupancy	1,316,779	111,966		1,399,525
	<b>21</b> Travel, conferences, and meetings	403,080	24,614		381,509
	<b>22</b> Printing and publications	115,048			112,855
	<b>23</b> Other expenses (attach schedule) See Statement 7	700,219	48,284		630,549
	<b>24</b> Total operating and administrative expenses. Add lines 13 through 23	24,840,989	9,056,295		19,798,732
	<b>25</b> Contributions, gifts, grants paid	64,352,867			53,344,131
<b>26</b> Total expenses and disbursements. Add lines 24 and 25	89,193,856	9,056,295		73,142,863	
<b>27</b> Subtract line 26 from line 12:					
<b>a</b> Excess of revenue over expenses and disbursements	-50,465,540				
<b>b</b> Net investment income (if negative, enter -0-)		35,563,852			
<b>c</b> Adjusted net income (if negative, enter -0-)					

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing . . . . .	29,983,845	26,335,566	26,335,566
	2 Savings and temporary cash investments . . . . .			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .	96,694	48,187	48,187
	10a Investments—U.S. and state government obligations (attach schedule) Stmt 8	69,190,014	60,791,825	60,791,825
	b Investments—corporate stock (attach schedule) See Statement 9	92,349,486	84,550,705	84,550,705
	c Investments—corporate bonds (attach schedule) See Statement 10	124,971,006	108,619,664	108,619,664
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) See Statement 11	1,131,622,606	1,149,706,256	1,149,706,256
	14 Land, buildings, and equipment: basis ▶ 4,276,803 Less: accumulated depreciation (attach schedule) ▶ Stmt 12 3,050,441	1,487,869	1,226,362	3,210,667
15 Other assets (describe ▶ See Statement 13 )	420,276	202,340	202,340	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	1,450,121,796	1,431,480,905	1,433,465,210	
<b>Liabilities</b>	17 Accounts payable and accrued expenses . . . . .	2,220,758	1,632,307	
	18 Grants payable . . . . .	14,837,115	25,845,852	
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ See Statement 14 )	6,420,780	6,626,267	
	23 Total liabilities (add lines 17 through 22) . . . . .	23,478,653	34,104,426	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted . . . . .	1,426,643,143	1,397,376,479	
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds . . . . .			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions) . . . . .	1,426,643,143	1,397,376,479		
31 Total liabilities and net assets/fund balances (see instructions) . . . . .	1,450,121,796	1,431,480,905		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,426,643,143
2	Enter amount from Part I, line 27a . . . . .	2	-50,465,540
3	Other increases not included in line 2 (itemize) ▶ See Statement 15	3	21,198,876
4	Add lines 1, 2, and 3 . . . . .	4	1,397,376,479
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,397,376,479

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	See Statement 16			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$		<b>2</b>	21,442,000
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

<b>1</b> Enter the appropriate amount in each column for each year; see the instructions before making any entries.				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2015	74,787,743	1,495,193,018	0.050019	
2014	77,739,707	1,529,683,115	0.050821	
2013	71,442,419	1,438,501,102	0.049664	
2012	70,654,874	1,367,028,957	0.051685	
2011	69,517,176	1,380,035,331	0.050373	
<b>2</b>	<b>Total</b> of line 1, column (d)		<b>2</b>	0.252562
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		<b>3</b>	0.050512
<b>4</b>	Enter the net value of noncharitable-use assets for 2016 from Part X, line 5		<b>4</b>	1,405,562,797
<b>5</b>	Multiply line 4 by line 3		<b>5</b>	70,997,788
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)		<b>6</b>	355,639
<b>7</b>	Add lines 5 and 6		<b>7</b>	71,353,427
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		<b>8</b>	73,192,932

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	355,639	
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	355,639	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0	
<b>5</b>	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	355,639	
<b>6</b>	Credits/Payments:			
<b>a</b>	2016 estimated tax payments and 2015 overpayment credited to 2016	<b>6a</b>	1,025,744	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	1,025,744	
<b>8</b>	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	0	
<b>10</b>	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	670,105	
<b>11</b>	Enter the amount of line 10 to be: Credited to 2017 estimated tax <input type="checkbox"/> 670,105 Refunded <input type="checkbox"/>	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		<input checked="" type="checkbox"/>
<b>1c</b> Did the foundation file Form 1120-POL for this year?		<input checked="" type="checkbox"/>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		<input checked="" type="checkbox"/>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		<input checked="" type="checkbox"/>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<input checked="" type="checkbox"/>	
<b>4b</b> If "Yes," has it filed a tax return on Form 990-T for this year?	<input checked="" type="checkbox"/>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		<input checked="" type="checkbox"/>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input checked="" type="checkbox"/>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
<b>8b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	<input checked="" type="checkbox"/>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		<input checked="" type="checkbox"/>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		<input checked="" type="checkbox"/>

**Part VII-A Statements Regarding Activities (continued)**

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	<b>11</b>	Yes	No
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	<b>12</b>		✓
<b>13</b>	<b>Did the foundation comply with the public inspection requirements for its annual returns and exemption application?</b>	<b>13</b>	✓	
Website address ▶ <u>www.wallacefoundation.org</u>				
<b>14</b>	The books are in care of ▶ <u>Stacy Martin, CFO</u>	Telephone no. ▶	<u>212-251-9700</u>	
	Located at ▶ <u>5 Penn Plaza, 7th Fl New York, NY</u>	ZIP+4 ▶	<u>10001</u>	
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here. . . . . ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	<b>15</b>		
<b>16</b>	At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ <u>Denmark, Cayman Islands</u>		✓	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	<b>1b</b>	✓
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016? . . . . .	<b>1c</b>	✓
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	✓
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?	<b>4b</b>	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 17				

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 18				

**Total** number of other employees paid over \$50,000  35



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
See Statement 19		
-----		
-----		
-----		
-----		
-----		
<b>Total</b> number of others receiving over \$50,000 for professional services		<b>31</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> Through conference presentations, webinars, print advertising and media outreach share Wallace research findings with non-grantee audiences, who can use the findings to improve their own work.	1,989,765
<b>2</b> Provide research to grantee participants of Building Audiences initiative and tech assistance to districts and non-profit participants in our Ed. Leadership and OST initiatives.	3,691,560
<b>3</b> Conduct evaluations of our Principal Supervisor and Pipeline initiatives. Conduct research on using collaboration and SEL for grantees' use to plan and execute grant funded activities.	964,976
<b>4</b> ----- ----- -----	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b> N/A ----- -----	
<b>2</b> ----- ----- -----	
All other program-related investments. See instructions. <b>3</b> ----- ----- -----	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,390,649,549
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	33,107,091
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	3,210,667
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,426,967,307
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	1,426,967,307
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	21,404,510
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	1,405,562,797
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	70,278,140

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	70,278,140
<b>2a</b>	Tax on investment income for 2016 from Part VI, line 5 . . . . .	<b>2a</b>	355,639
<b>b</b>	Income tax for 2016. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	355,639
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	69,922,501
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	69,922,501
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	69,922,501

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	73,142,863
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	50,069
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	73,192,932
<b>5</b>	<b>Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.</b> Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	355,639
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	72,837,293

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
<b>1</b> Distributable amount for 2016 from Part XI, line 7 . . . . .				69,922,501
<b>2 Undistributed income, if any, as of the end of 2016:</b>				
<b>a</b> Enter amount for 2015 only . . . . .			35,249,438	
<b>b Total for prior years:</b> 20____,20____,20____		0		
<b>3 Excess distributions carryover, if any, to 2016:</b>				
<b>a</b> From 2011 . . . . .				
<b>b</b> From 2012 . . . . .				
<b>c</b> From 2013 . . . . .				
<b>d</b> From 2014 . . . . .				
<b>e</b> From 2015 . . . . .				
<b>f Total</b> of lines 3a through e . . . . .	0			
<b>4</b> Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ <u>73,192,932</u>				
<b>a</b> Applied to 2015, but not more than line 2a . . . . .			35,249,438	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .		0		
<b>c Treated as distributions out of corpus (Election required—see instructions)</b> . . . . .	0			
<b>d</b> Applied to 2016 distributable amount . . . . .				37,943,494
<b>e</b> Remaining amount distributed out of corpus . . . . .	0			
<b>5</b> Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5</b>	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 . . . . .				31,979,007
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .	0			
<b>8</b> Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9 Excess distributions carryover to 2017.</b> Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10 Analysis of line 9:</b>				
<b>a</b> Excess from 2012 . . . . .				
<b>b</b> Excess from 2013 . . . . .				
<b>c</b> Excess from 2014 . . . . .				
<b>d</b> Excess from 2015 . . . . .				
<b>e</b> Excess from 2016 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling . . . . . N/A

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

---

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

---

- b** The form in which applications should be submitted and information and materials they should include:

---

- c** Any submission deadlines:

---

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i></p> <p style="text-align: center;">See Statement 20</p>				53,344,131
<p><b>Total</b> . . . . . ▶ <b>3a</b></p>				53,344,131
<p><b>b</b> <i>Approved for future payment</i></p> <p style="text-align: center;">See Statement 20</p>				26,148,792
<p><b>Total</b> . . . . . ▶ <b>3b</b></p>				26,148,792

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments			14	58,786	
<b>4</b> Dividends and interest from securities . . . . .			14	8,374,027	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property . . . . .					
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income . . . . .	900099	-474,120	14	478,816	
<b>8</b> Gain or (loss) from sales of assets other than inventory	900099	1,141,802	18	29,147,138	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . . . . .					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .		667,682		38,058,767	
<b>13</b> Total. Add line 12, columns (b), (d), and (e) . . . . .			13	38,726,449	

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- |   | Yes | No |
|---|-----|----|
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:   |     |    |
| <b>(1)</b> Cash   |     | ✓  |
| <b>(2)</b> Other assets   |     | ✓  |
| <b>b</b> Other transactions:  |     |    |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization   |     | ✓  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization   |     | ✓  |
| <b>(3)</b> Rental of facilities, equipment, or other assets   |     | ✓  |
| <b>(4)</b> Reimbursement arrangements   |     | ✓  |
| <b>(5)</b> Loans or loan guarantees   |     | ✓  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations   |     | ✓  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | ✓  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received. |     |    |

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: \_\_\_\_\_ Date: \_\_\_\_\_ Title: **CFO**

May the IRS discuss this return with the preparer shown below (see instructions)?  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name <b>▶ KPMG, LLP</b>	Firm's EIN <b>▶ 13-5565207</b>			
	Firm's address <b>▶ 345 Park Ave, New York, NY 10154</b>	Phone no. <b>212-758-9700</b>			

**The Wallace Foundation**  
**13-6183757**  
**2016**

Index of Statements Attached to Return of Private Foundation (Form 990-PF)

- 1 Form 990-PF, Part I, Line 11, Other Income
- 2 Form 990-PF, Part I, Line 16a, Legal Fees
- 3 Form 990-PF, Part I, Line 16b, Accounting Fees
- 4 Form 990-PF, Part I Line 16c, Other Professional Fees
- 5 Form 990-PF, Part I, Line 18, Taxes
- 6 Form 990-PF, Part I, Line 19, Depreciation
- 7 Form 990-PF, Part I, Line 23, Other Expenses
- 8 Form 990-PF, Part II, Line 10a, Investments- U.S. and State Government Obligation
- 9 Form 990-PF, Part II, Line 10b, Investments-Corporate Stock
- 10 Form 990-PF, Part II, Line 10c, Investments-Corporate Bonds
- 11 Form 990-PF, Part II, Line 13, Investments-Other
- 12 Form 990-PF, Part II, Line 14, Land, Buildings and Equipment Minus  
Accumulated Depreciation
- 13 Form 990-PF, Part II, Line 15, Other Assets
- 14 Form 990-PF Part II, Line 22, Other Liabilities
- 15 Form 990-PF, Part III, Line 3, Other Increases Not Included in Line 2, Part III
- 16 Form 990-PF, Part IV, Line 2, Capital Gains and Losses for Tax on Investment Income
- 17 Form 990-PF, Part VIII, Line 1, Information about Officers, Directors, Trustees,  
Foundation Managers and their Compensation
- 18 Form 990-PF, Part VIII, Line 2, Compensation of Five Highest Paid Employees
- 19 Form 990-PF, Part VIII, Line 3, Five Highest Paid Independent Contractors for  
Professional Services
- 20 Form 990-PF, Part XV, Line 3a and 3b, Grants and Contributions  
Paid During the Year or Approved for Future Payment



The Wallace Foundation  
13-6183757  
2016

Statement 1

For the fiscal year beginning January 1, 2016 and ending December 31, 2016  
Form 990-PF, Part I, Line 11, Other Income

	Revenue per Books	Net Investment Income	Adjusted Net Income
Expense refunds and rewards earned	4,696	4,696	-
Partnership Income	<u>0</u>	<u>14,740,295</u>	<u>-</u>
	<u>4,696</u>	<u>14,744,991</u>	<u>-</u>

**The Wallace Foundation**  
**13-6183757**  
**2016**

Statement 2,3,4

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part I, Line 16, a, b, c: Legal Fees, Accounting Fees and Other Professional Fees

Line	Statement	Type of Service	Col (a) Per Books	Col (b) Inv.-Related	Col(d) Disbursements for Charitable Purposes (Cash Basis Only)
16 a	2	Legal Fees	78,009	21,355	60,953
		Related Disbursements	-	-	-
			<u>\$ 78,009</u>	<u>\$ 21,355</u>	<u>\$ 60,953</u>
16 b	3	Accounting Fees	<u>\$ 161,000</u>	<u>\$ 80,500</u>	<u>\$ 84,050</u>
16 c	4	Other Professional Fees			
		Investment Fees	<u>\$ 1,357,144</u>	<u>\$ 6,405,254</u>	<u>-</u>
		General Consultants	65,450	5,565	53,005
		Recruiting Fees	332,198	-	328,797
		Temporary Help	1,142,647	-	1,238,999
		Information Technology Consultants	35,359	-	34,302
		<b>Subtotal</b>	<u>\$ 1,575,654</u>	<u>\$ 5,565</u>	<u>\$ 1,655,103</u>
		Direct Charitable Activities			
		Dissemination & Knowledge Sharing	1,989,765	-	2,032,073
		Technical Assistance to Grantees	3,691,560	-	3,670,908
		Research and Evaluation	964,976	-	1,616,268
		<b>Subtotal</b>	<u>\$ 6,646,301</u>	<u>-</u>	<u>\$ 7,319,249</u>
		<b>Total Other Professional Fees</b>	<u>\$ 9,579,099</u>	<u>\$ 6,410,819</u>	<u>\$ 8,974,352</u>

The Wallace Foundation  
13-6183757  
2016

Statement 5

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part I, Line 18, Taxes

<u>Description</u>	<u>Col. (a) Revenue and Expense Per Books</u>	<u>Col.(b) Net Investment Income</u>
Federal excise tax and UBIT	\$ 2,076,140	\$ -
Foreign taxes	<u>91,119</u>	<u>669,248</u>
<b>Total Taxes</b>	<b><u>\$ 2,167,259</u></b>	<b><u>\$ 669,248</u></b>

The Wallace Foundation  
13-6183757  
2016

Statement 6

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part I, Line 19, Depreciation

Description of the Property (a)	Year Acquired (b)	Cost (c)	Depreciation Allowed in Prior Years (d)	Method Of Depreciation (e)	Rate(% or Life (f)	Per Books Deprn. 2016 (g)
Leasehold Improvements	2004	97,990.00	65,343.00	Straight Line	thru 2/21	6,324.00
	2005	3,398,139.43	2,276,175.00	Straight Line	thru 2/21	217,162.00
	2006	10,078.59	6,565.00	Straight Line	thru 2/21	672.00
	2007	7,111.50	4,366.00	Straight Line	thru 2/21	528.00
	2008	1,408.75	831.00	Straight Line	thru 2/21	120.00
	2009	2,902.50	1,547.00	Straight Line	thru 2/21	252.00
	2010	826.00	414.00	Straight Line	thru 2/21	72.00
	2011	7,027.50	2,570.00	Straight Line	thru 2/21	564.00
	2012	21,057.79	8,709.00	Straight Line	thru 2/21	2,400.00
	2013	27,008.93	8,579.00	Straight Line	thru 2/21	3,576.00
	2014	11,903.75	2,432.00	Straight Line	thru 2/21	1,824.00
	2015	38,556.00	0.00	Straight Line	thru 2/21	7,716.00
	2016	45,904.36	0.00	Straight Line	thru 2/21	5,912.00
		<b>3,669,915.10</b>	<b>2,377,531.00</b>			<b>247,122.00</b>
Furniture	2005	399,032.78	264,690.72	Straight Line	thru 2/21	25,308.00
	2006	23,846.14	15,478.00	Straight Line	thru 2/21	1,572.00
	2009	489.94	216.00	Straight Line	15 years	36.00
	2013	27,979.53	4,956.00	Straight Line	15 years	2,220.00
	2014	6,048.24	676.86	Straight Line	15 years	400.86
	2015	0.00	0.00	Straight Line	15 years	0.00
	2016	4,165.00	0.00	Straight Line	15 years	120.00
		<b>461,561.63</b>	<b>286,017.58</b>			<b>29,656.86</b>
Computer Equipment	2012	0.00	0.00	Straight Line	3 years	0.00
	2013	43,319.86	37,318.74	Straight Line	3 years	5,989.15
	2014	35,310.53	17,530.00	Straight Line	3 years	11,739.94
	2015	28,921.63	7,563.00	Straight Line	3 years	9,648.00
	2016	0.00	0.00	Straight Line	3 years	0.00
		<b>107,552.02</b>	<b>62,411.74</b>			<b>27,377.09</b>
Telephone and Office Equip	2010	0.00	0.00	Straight Line	5 years	0.00
	2011	699.95	612.00	Straight Line	5 years	87.95
	2012	2,544.51	1,583.00	Straight Line	5 years	516.00
	2013	0.00	0.00	Straight Line	5 years	0.00
	2014	33,164.06	10,359.00	Straight Line	5 years	6,624.00
	2015	0.00	0.00	Straight Line	5 years	0.00
	2016	0.00	0.00	Straight Line	5 years	0.00
		<b>36,408.52</b>	<b>12,554.00</b>			<b>7,227.95</b>
Framed Prints & Photographs	2013	286.11	105.00	Straight Line	7 years	36.00
	2014	1,079.61	246.00	Straight Line	7 years	156.00
	2015	0.00	0.00	Straight Line	7 years	0.00
	2016	0.00	0.00	Straight Line	7 years	0.00
		<b>1,365.72</b>	<b>351.00</b>			<b>192.00</b>
<b>Total</b>		<b>4,276,802.99</b>	<b>2,738,865.32</b>			<b>311,575.90</b>

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**Statement 7**

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part I, Line 23, Cols. a , b & d - Other Expenses

<u>Description</u>	<u>Col (a)</u> <u>Per Books</u>	<u>Col (b)</u> <u>Inv-Related</u>	<u>Col. (d)</u> <u>Disbursements for</u> <u>Charitable purposes</u> <u>(Cash Basis Only)</u>
<b>Other Expenses:</b>			
Insurance	\$ 103,559	\$ 8,806	\$ 89,467
Computer Software, Maintenance and Parts	395,904	22,838	369,471
Office Management	104,599	8,894	87,125
Training Courses	46,599	3,962	38,235
Payroll Services	11,247	956	10,278
NY State Filing Fee	1,500	-	1,500
Dues	33,110	-	33,600
Interest, Penalties & Fees	3,701	2,828	873
<b>Total Other Expenses</b>	<b>\$ 700,219</b>	<b>\$ 48,284</b>	<b>\$ 630,549</b>

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For fiscal year beginning January 1, 2016 and ending December 31, 2016

Part II, Line 10a - Investments- U.S. and state government obligations

<b>Description</b>	<b>Interest Rate</b>	<b>Maturity Date</b>	<b>Par Value</b>	<b>Fair Market Value</b>
<b>U.S. Government Obligations:</b>				
United States Treasury Principal Strip	0.00	05/15/2045	10,200,000	4,175,778
United States Treasury Principal Strip	0.00	08/15/2015	13,105,000	5,331,769
United States Treasury Principal Strip	0.00	11/15/2045	13,970,000	5,622,506
United States Treasury Note/Bond	3.00	11/15/2045	10,500,000	10,356,465
United States Treasury Note/Bond	2.50	02/15/2046	19,400,000	17,238,646
United States Treasury Note/Bond	2.50	05/15/2016	20,330,000	18,066,661
<b>Total for U.S. Government Obligations:</b>				<b>\$ 60,791,825</b>



For fiscal year beginning January 1, 2016 and ending December 31, 2016

Part II, Line 10b - Investments- corporate stock

Description	Shares	Fair Market Value
<b>Common Stock:</b>		
ABB Ltd	134,121	2,834,573.80
Accenture PLC	6,193	725,386.09
Adecco Group AG	17,521	1,148,988.69
Aker Solutions ASA	55,309	265,824.01
Amec Foster Wheeler PLC	92,650	538,069.74
Aon PLC	11,514	1,284,156.42
Arch Capital Group Ltd	38,367	3,310,688.43
Baidu Inc	16,925	2,782,639.25
Brookdale Senior Living Inc	64,300	798,606.00
Carlsberg AS	17,017	1,471,279.94
CIE Financiere Richemont	23,129	1,534,954.54
Compass Group PLC	178,404	3,308,876.58
Credit Saison Co Ltd	22,300	397,111.50
Diageo PLC	28,615	746,056.12
Groupe Bruxelles Lambert SA	19,927	1,675,555.16
Hilton Worldwide Holdings Inc	25,200	685,440.00
IMI PLC	43,174	554,818.48
Imperial Oil Ltd	38,399	1,337,472.35
ING Groep NV	209,545	2,955,005.05
ISS A/S	51,907	1,755,378.54
Lloyds Banking Group PLC	2,127,710	1,643,452.75
Medtronic PLC	28,499	2,029,983.77
Novartis AG	23,094	1,683,736.31
Orkla ASA	97,333	884,259.51
Panalpina Welttransport	13,693	1,713,730.11
Pargesa Holding SA	9,026	588,796.97
Qinetiq Group PLC	99,420	322,845.26
Relx NV	23,350	393,808.30
Relx PLC	120,381	2,155,368.95
Royal Bank Of Scotland Group	858,887	2,383,642.23
Samsung Electronics Co Ltd GDR	4,923	3,677,481.00
SBA Communications Corp	5,300	547,278.00
Sodexo	5,374	618,970.31
Stanley Electric Co Ltd	45,100	1,235,431.05
Sugi Holdings Co Ltd	2,600	123,942.21
TE Connectivity Ltd	30,496	2,112,762.88
Telefonica Brasil ADR	153,276	2,050,832.88
Tesco PLC	818,051	2,090,890.04
TGS Nopec Geophysical Co ASA	3,307	73,649.40
Tokyo Electron Ltd	15,700	1,486,744.97
UBS Group AG	228,161	3,580,625
Unilever Plc Sponsored ADR	14,816	603,011
Vesuvius PLC	123,533	603,400

For fiscal year beginning January 1, 2016 and ending December 31, 2016

Part II, Line 10b - Investments- corporate stock

<b>Description</b>	<b>Shares</b>	<b>Fair Market Value</b>
Vivendi	58,070	1,105,857
<b>Total for Common Stock:</b>		<b>63,821,380</b>
<b>Real Estate Investment Trust:</b>		
Acadia Realty Trust	6,643	217,093.24
American Campus Communities	14,700	731,619.00
American Homes 4 Rent	44,900	942,002.00
American Tower Corp	11,000	1,162,480.00
Avalonbay Communities Inc	4,900	868,035.00
Boston Properties Inc	4,700	591,166.00
Brixmor Property Group Inc	33,700	822,954.00
Care Capital Properties Inc	19,200	480,000.00
CBL + Associates Properties	72,300	831,450.00
Cyrusone Inc	20,200	903,546.00
Equity Commonwealth	2,200	66,528.00
Essex Property Trust Inc	4,000	930,000.00
Gramercy Property Trust	75,900	696,762.00
Hersha Hospitality Trust	10,900	234,350.00
Highwoods Properties Inc	8,800	448,888.00
Hudson Pacific Properties Inc	13,400	466,052.00
Kilroy Realty Corp	14,200	1,039,724.00
Lasalle Hotel Properties	18,200	554,554.00
Life Storage Inc	1,500	127,890.00
Medical Properties Trust Inc	41,400	509,220.00
Mgm Growth Properties Llc	26,100	660,591.00
Omega Healthcare Investors	9,417	294,375.42
Public Storage	3,500	782,250.00
QTS Realty Trust Inc	1,600	79,440.00
Simon Property Group Inc	11,900	2,114,273.00
Uniti Group Inc	16,800	426,888.00
Vornado Realty Trust	11,000	1,148,070.00
Washington Prime Group Inc	27,966	291,126.06
Welltower Inc	15,600	1,044,108.00
Weyerhaeuser Co	20,448	615,280.32
<b>Total for Real Estate Investment Trust:</b>		<b>20,080,715</b>
Receivable for Investments Sold, Net		<b>648,610</b>
<b>Grand Total for All Corporate Stock</b>		<b>\$ 84,550,705</b>

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For fiscal year beginning January 1, 2016 and ending December 31, 2016

Part II, Line 10c - Investments - corporate bonds

<b>Description</b>	<b>Fair Market Value</b>
<b>Mutual Funds - Fixed Income:</b>	
The PIMCO Funds:	
PIMCO Short-Term Inst	7,213,339
PIMCO Income Inst	69,004,766
DFA Investment Dimensions Group Inc:	
- DFA One -Year Fixed Income Portfolio	101,718
BlackRock Funds II:	
- BlackRock Inflation Protected Bond Porfolio	1,109,777
AllianzGL Global Small-Cap Inst	30,666,368
<b>Total for Mutual Funds - Fixed Income:</b>	<b>108,095,968</b>
<b>U.S. Limited Liability Corporation:</b>	
JP Morgan Structured Debt Fund, LLC	523,696
<b>Grand Total for All Bonds</b>	<b>\$ 108,619,664</b>

For fiscal year beginning January 1, 2016 and ending December 31, 2016

Part II, Line 13 - Investments- other

Description	Fair Market Value
<b>U.S. Limited Partnerships, Corporations and Trusts:</b>	
Acadian Emerging Markets Small-Cap Equity Fund, LLC	37,437,256
Amberbrook IV, LLC	1,317,697
Amberbrook V, LLC	6,186,367
Amberbrook VI, LLC	17,465,820
Amberbrook VII, LLC	5,048,050
Baker Bros/ Life Sciences, L.P.	45,479,397
Baupost Value Partners, L.P. -IV	45,352,695
Commonfund Capital Natural Resources Partners V, L.P.	2,181,062
Commonfund Capital Natural Resources Partners VI, L.P.	5,899,541
Commonfund Capital Natural Resources Partners VII, L.P.	4,197,141
Denham Commodity Partners Fund V, L.P.	2,056,811
Denham Commodity Partners Fund VI, L.P.	10,950,744
EnerVest Energy Institutional Fund XIII-C, L.P.	2,248,954
EnerVest Energy Institutional Fund XIV-C, L.P.	12,540,788
Farallon Capital Institutional Partners, L.P.	44,732,822
Grandbanks Capital Venture Fund II, L.P.	7,441,129
Greycroft Growth, L.P.	9,008,202
Greycroft Partners IV, LP	668,794
HarbourVest Partners VII-Buyout Holding Fund, L.P.	1,539,912
HarbourVest Partners VII-Venture Holding Fund, L.P.	1,678,128
JFL AIV Investors III (US), L.P.	1,245,027
JFL AIV Investors III - B, L.P.	844,554
JFL AIV Investors III - JA, L.P.	3,599,413
JFL Equity Investors III, L.P.	3,973,842
JFL Equity Investors IV, L.P.	2,551,556
NGP Natural Resources X, L.P.	6,852,970
NGP Natural Resources XI, LP	7,385,928
OFI Institutional Emerging Markets Equity Fund, L.P.	51,386,003
Pantheon USA Fund V, L.P.	1,021,841
Pantheon USA Fund VI, L.P.	6,485,581
Providence Equity Partners VI (Umbrella U.S.), L.P.	412,565
Providence Equity Partners VI, L.P.	5,143,032
Realty Associates Fund VII Corporation	108,666
Renaissance Institutional Equities Fund	31,718,527
SSgA S&P 500 Index NL QP	78,881,234
The Silchester International Investors Tobacco Free International Value Equity Trust	95,152,183
Strategic Partners Fund III, L.P.	4,658,394
Strategic Partners Fund IV, L.P.	5,116,204
Strategic Partners Fund V, L.P.	6,284,380
Updata Partners IV, L.P.	9,185,826
The Varde Fund IX, L.P.	9,016,680
The Varde Fund XI (B) (Feeder), L.P.	25,316,362
Walton Street Real Estate Fund V, L.P.	5,721,865
Walton Street Real Estate Fund VI, L.P.	7,008,877
Wellington Trust, Multiple Common Trust Funds Trust Durable Companies Portfolio	81,266,205
Wellington Trust Company, National Association Multiple Common Trust Funds Trust: - Energy Portfolio	16,259,627
<b>Total for U.S. Limited Partnerships, Corporations and Trusts:</b>	<b>730,028,652</b>

For fiscal year beginning January 1, 2016 and ending December 31, 2016

Part II, Line 13 - Investments- other

Description	Fair Market Value
<b>Non-U.S. Limited Partnerships, Corporations and Trusts :</b>	
AHL (Cayman) SPC – Class A1 Evolution USD Shares	17,094,882
AXA Secondary Fund V, L.P.	11,824,909
ASF VI, L.P.	12,769,107
ASF VII, L.P.	1,102,797
Blenheim Diversified Dynamic Alpha Fund, Ltd.	39,899,462
Coatue Offshore Master Fund, Ltd.	36,448,594
EnCap Energy Capital Fund IX	8,723,342
European Strategic Partners 2006 'B'	5,996,483
Graham Global Investment Fund II SPC, Ltd.	7,546,316
HarbourVest Partners VIII Cayman Buyout Fund, L.P.	4,378,876
HarbourVest Partners VIII Cayman Venture Fund, L.P.	10,435,538
HSH Cayman Parters, L.P.	14,042
Hudson Bay International Fund, Ltd.	18,639,498
Insight Venture Partners (Cayman) VI, L.P.	4,665,906
Insight Venture Partners (Cayman) VII, L.P.	9,899,935
Insight Venture Partners (Cayman) VIII, L.P.	17,779,167
Insight Venture Partners (Cayman) IX, L.P.	12,899,371
J.C. Flowers II, L.P.	1,962,623
J.C. Flowers III, L.P.	1,935,367
JCF II Special AIV K L.P.	206,284
JCF III AIV OSB, .L.P	1
JCF III Special AIV II L.P.	14,087,867
Jupiter JCF AIV II Special TE, L.P.	1,997,224
Koppenberg Macro Commodity Fund, Ltd	13,656,831
Man AHL Evolution Frontier Limited A USD	22,578,760
Paulson Credit Opportunities, Ltd	7,518,276
Providence Equity Partners VI International, L.P.	4,863,455
Strategic Partners Fund VI, L.P.	9,102,067
The Värde Fund XII-B, L.P.	9,559,836
Viking Global Equities III, Ltd	40,289,254
Visium Balanced Offshore Fund, Ltd	1,437,206
York Credit Opportunities Unit Trust	44,886,921
ZP Offshore Energy Fund, Ltd	15,514,939
ZP Offshore Utility Fund, Ltd	9,962,468
<b>Total for Non-U.S. Limited Partnerships, Corporations and Trusts:</b>	<b>419,677,604</b>
 <b>Grand Total for All Other Investments</b>	 <b>\$ 1,149,706,256</b>

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Statement 12

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part II, Line 14, Land, buildings, and equipment minus accumulated depreciation

<u>Description of the Property</u>	(a) <u>Cost</u>	(b) <u>Accumulated Depreciation</u>	(a)-(b)=(c) <u>Fair Value</u>
Leasehold Improvements	3,669,915	2,624,653	1,045,262
Furniture	461,562	\$315,674	145,887
Computer	107,552	89,789	17,763
Office Equipment	36,409	19,782	16,627
Framed Prints and Photographs	<u>1,366</u>	<u>543</u>	<u>823</u>
<b>Total</b>	<b><u>\$ 4,276,803</u></b>	<b><u>\$ 3,050,441</u></b>	<b><u>\$ 1,226,362</u></b>



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Statement 13

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part II, Line 15, Other Assets

<u>Description</u>	<u>Col. (a) Per Books Beginning of Year</u>	<u>Col.(b) Per Books End of Year</u>	<u>Col. (c) Fair Market Value End of Year</u>
Accrued investment income (loss)	\$ (58,403)	(176,002)	(176,002)
Other receivables	<u>478,679</u>	<u>378,342</u>	<u>378,342</u>
<b>Total Other Assets</b>	<b><u>\$ 420,276</u></b>	<b><u>202,340</u></b>	<b><u>202,340</u></b>

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Statement 14

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part II, Line 22, Other Liabilities

<u>Description</u>	<u>Col. (a) Per Books Beginning of Year</u>	<u>Col.(b) Per Books End of Year</u>
Deferred Federal excise tax	\$ 5,037,366	\$ 5,450,810
Landlord incentives	1,383,414	1,175,457
<b>Total Other Liabilities</b>	<b>\$ 6,420,780</b>	<b>\$ 6,626,267</b>

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Statement 15

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part III, Line 3, Other increases not included in line 2 of Part III

Increase in unrealized appreciation in the fair value of investments held	\$	21,612,319
Less: Net change in provision for deferred Federal excise tax		(413,443)
	\$	<u><u>21,198,876</u></u>

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Statement 16

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Part IV, Line 2, Capital Gains and Losses for Tax on Investment Income

		<b>Amount</b>
Sales of Publicly Traded Securities	\$ 485,769,300	
Cost of Publicly Traded Securities Sold	<u>488,858,148</u>	
Net Capital Gains and Losses		(3,088,848)
Capital Gain Dividends:		0
Realized (Losses) on Liquidated Partnerships:		(5,124,975)
Realized Gains on Foreign Corporations:		10,488,917
Net Capital Gains on Foreign Exchange Contracts:		(138,160)
Realized Gains on K-1's of Common Trusts and Limited Partnerships:		<u>19,305,066</u>
<b>Total</b>		<u><u>\$ 21,442,000</u></u>

Note: All securities sold in 2016 were purchased by The Wallace Foundation.

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**Statement 17**  
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For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part VIII, Line 1, Information about Officers, Directors, Trustees, Foundation Managers  
and their Compensation

(a)	(b)	(c)	(d)	(e)
<u>Name and address</u> <sup>1</sup>	<u>Title and Average hours per week devoted to position</u>	<u>Compensation</u>	<u>Contributions to employee benefit plans and deferred compensation</u>	<u>Expense acct. and other allowances</u>
Kenneth Austin	Senior Counsel Corporate Secretary Full Time - Approx. 50 hrs. per wk.	221,265	\$46,190	None
Lawrence T. Babbio, Jr.	Director Part Time - Approx. 1 hr. per wk.	None	None	None
Candace Beinecke	Chairperson and Director Part Time - Approx. 1 hr. per wk.	None	None	None
Sharon W. Clark	Chief Administrative Officer Assistant Corporate Secretary Full Time - Approx. 50 hrs. per wk.	325,430 <sup>2</sup>	67,839 <sup>3</sup>	None
Augusta S. Kappner	Director Part Time - Approx. 1 hr. per wk.	None	None	None
Richard L. Kauffman	Director Part Time - Approx. 1 hr. per wk.	None	None	None
Kevin W. Kennedy (Term ended June 2016)	Chairman and Director Part Time - Approx. 1 hr. per wk.	None	None	None
Stacy J. Martin	Chief Financial Officer Treasurer Full Time - Approx. 50 hr. per wk.	297,673 <sup>4</sup>	70,891 <sup>5</sup>	None
Kent McGuire (Elected Feb 2016)	Director Part Time - Approx. 1 hr. per wk.	None	None	None
William I. Miller	Director & President Full Time - Approx. 50 hr. per wk.	626,147 <sup>6</sup>	104,891 <sup>7</sup>	None
Ann S. Moore (Term ended June 2016)	Director Part Time - Approx. 1 hr. per wk.	None	None	None
Rob D. Nagel	Chief Investment Officer Assistant Treasurer Full Time - Approx. 50 hrs. per wk.	825,419 <sup>8</sup>	138,384 <sup>9</sup>	None
Joseph W. Polisi	Director Part Time - Approx. 1 hr. per wk.	None	None	None
Debora Spar	Director Part Time - Approx. 1 hr. per wk.	None	None	None

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Form 990-PF, Part VIII, Line 1, Information about Officers, Directors, Trustees, Foundation Managers  
and their Compensation

(a)	(b)	(c)	(d)	(e)
<u>Name and address</u> <sup>1</sup>	<u>Title and Average hours per week devoted to position</u>	<u>Compensation</u>	<u>Contributions to employee benefit plans and deferred compensation</u>	<u>Expense acct. and other allowances</u>
Amor Towles	Director Part Time - Approx. 1 hr. per wk.	None	None	None
Mary Beth West	Director Part Time - Approx. 1 hr. per wk.	None	None	None

<sup>1</sup> Address for all Directors and Employees is: 5 Penn Plaza, 7th floor, New York, NY 10001.

<sup>2</sup> Includes a payout of \$3,134 for 2016 deferred compensation under a Supplemental Executive Retirement Plan (SERP).

<sup>3</sup> Includes \$7,382 of 2016 deferred compensation for SERP.

<sup>4</sup> Includes a payout of \$1,450 for 2016 deferred compensation under a Supplemental Executive Retirement Plan (SERP).

<sup>5</sup> Includes \$3,309 of 2016 deferred compensation for SERP.

<sup>6</sup> Includes a payout of \$22,402 for 2016 deferred compensation under a Supplemental Executive Retirement Plan (SERP).

<sup>7</sup> Includes \$37,428 of 2016 deferred compensation for SERP.

<sup>8</sup> Includes a payout of \$30,389 for 2016 deferred compensation under a Supplemental Executive Retirement Plan (SERP).

<sup>9</sup> Includes \$68,122 of 2016 deferred compensation for SERP.



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**Statement 18**

For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part VIII, Line 2, Compensation of Five Highest Paid Employees

(a)	(b)	(c)	(d)	(e)
<u>Name and address 1</u>	<u>Title and Average hours per week devoted to position</u>	<u>Compensation</u>	<u>Contributions to employee benefit plans and deferred compensation</u>	<u>Expense acct. and other allowances</u>
Edward Pauly	Director of Research & Evaluation Full Time - Approx. 50 hrs. per wk.	320,766 <sup>2</sup>	\$76,308 <sup>3</sup>	None
Nancy Devine	Director of Learning & Enrichment Full Time - Approx. 50 hrs. per wk.	268,300	\$60,366	None
Daniel Windham	Director of Arts Full Time - Approx. 50 hrs. per wk.	254,382	\$59,150	None
Lucas Held	Director of Communication Full Time - Approx. 50 hrs. per wk.	238,201	\$57,216	None
Jody Spiro	Director of Education Leadership Full Time - Approx. 50 hrs. per wk.	253,758	\$49,258	None

<sup>1</sup> Address for all Directors and Employees is: 5 Penn Plaza, 7th floor, New York, NY 10001.

<sup>2</sup> Includes a payout of \$3,132 for 2016 deferred compensation under a Supplemental Executive Retirement Plan (SERP).

<sup>3</sup> Includes \$7,382 of 2016 deferred compensation for SERP.

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For the fiscal year beginning January 1, 2016 and ending December 31, 2016

Form 990-PF, Part VIII-Line 3, Five Highest Paid Independent Contractors for Professional Services

Name and Address	Type of Service	Compensation
S Radoff Associates, LLC 4481 Douglas Avenue Riverdale, NY 10471	Research and Consulting Services	\$ 1,132,138
Policy Studies 1718 Connecticut Ave., N.W., Suite 400 Washington, DC 20009	Research and Consulting Services	957,000
The Hatcher Group 4340 East West Highway Suite 912 Bethesda, MD 20814	Communication Consulting Services	659,761
Technical Development Corporation 31 Milk Street, Suite 310 Boston, MA 02109	Research and Consulting Services	565,867
EducationCounsel. LLC 1320 Main Street 17th Floor Columbia, SC 29201	Research and Consulting Services	297,957

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Name	Address	Purpose	Paid In 2016	Future Payments	Foundation Status
<b>ARTS EDUCATION:</b> Our goals are to engage more young people in high-quality arts learning during the school year and beyond and to help performing arts organizations attract new audiences while retaining current ones. These grants help efforts to raise the quality and availability of arts education for young people and to attract and sustain new audiences.					
Alvin Ailey American Dance Theater	405 W 55th St New York, NY 10019	To participate in the Building Audiences for Sustainability initiative.	470,000	55,000	PC
American Institutes for Research	1000 Thomas Jefferson St NW Washington, DC 20007	To conduct a policy-related review of arts education research that meets the Tiers 1 to 3 evidence definitions in the Every Student Succeeds Act.	450,000	46,677	PC
Americans for the Arts Inc.	1000 Vermont Ave NW Washington, DC 20005	To gauge support and gather input on a multi-state working group to support states' efforts for arts education through Title I.	40,000	-	PC
Arizona State University Foundation for A New American University	PO Box 2260 Tempe, AZ 85280	To participate in the Building Audiences for Sustainability initiative	260,000	-	PC
Arizona State University Foundation for A New American University	PO Box 2260 Tempe, AZ 85280	To participate in the Building Audiences for Sustainability initiative.	129,500	-	PC
ArtsKC - Regional Arts Council	106 Southwest Blvd Kansas City, MO 64108	To support Road on the Road events, forums for arts organizations to deepen engagement across a local community with the nine practices in "The Road to Results: Effective Practices for Building Arts Audiences."	40,000	-	PC
Association of California Symphony Orchestras	2755 Cottage Way Sacramento, CA 95825	To sponsor ACSO's 2016 annual conference.	5,300	-	PC
Ballet Austin	501 W 3rd St Austin, TX 78701	To continue participation in the Building Audiences for Sustainability initiative.	345,000	-	PC
Baltimore Symphony Orchestra	1212 Cathedral St Baltimore, MD 21201	To participate in the Building Audiences for Sustainability initiative.	50,000	-	PC
Baltimore Symphony Orchestra	1212 Cathedral St Baltimore, MD 21201	To continue participation in the Building Audiences for Sustainability initiative.	405,000	4,500	PC
Boys & Girls Clubs of America	1275 Peachtree St NE Atlanta, GA 30309	To support a market study for scaling the Youth Arts Initiative programs and to provide funding to three Wave I clubs to help build capability for long-term financial sustainability of the programs.	790,000	750,000	PC
Boys & Girls Clubs of America	1275 Peachtree St NE Atlanta, GA 30309	To develop a plan for Wave II of the Youth Arts Initiative.	-	240,000	PC
Cal Performances	101 Zellerbach Hall Berkeley, CA 94720	To participate in the Building Audiences for Sustainability initiative.	50,000	-	PC
Cal Performances	101 Zellerbach Hall Berkeley, CA 94720	To continue participation in the Building Audiences for Sustainability initiative.	357,000	-	PC
Contemporary Arts Center	900 Camp St New Orleans, LA 70130	To participate in the Building Audiences for Sustainability initiative.	25,000	-	PC
Contemporary Arts Center	900 Camp St New Orleans, LA 70130	To continue participation in the Building Audiences for Sustainability initiative.	400,000	6,000	PC
Denver Center Theatre Company	1101 13th St Denver, CO 80204	To participate in the Building Audiences for Sustainability initiative.	37,304	-	PC
Denver Center Theatre Company	1101 13th St Denver, CO 80204	To continue participation in the Building Audiences for Sustainability initiative.	334,000	-	PC
EdVestors	140 Clarendon St Boston, MA 02116	To support the transition toward long-term sustainability of Boston's effort to expand and sustain equitable access to quality arts education for students.	500,000	250,000	PC
Fractured Atlas	248 W 35th St New York, NY 10001	To support arts communications work of the Association of Arts Administration Educators.	25,000	-	PC

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Goodman Theatre	170 N Dearborn St Chicago, IL 60601	To participate in the Building Audiences for Sustainability initiative.	50,000	-	PC
Goodman Theatre	170 N Dearborn St Chicago, IL 60601	To continue participation in the Building Audiences for Sustainability initiative.	486,000	-	PC
Grantmakers in the Arts	4055 21st Ave W Seattle, WA 98199	To research and develop strategies for promoting policies favorable to the arts in education.	30,000	30,000	PC
La Jolla Playhouse	PO Box 12039 La Jolla, CA 92039	To participate in the Building Audiences for Sustainability initiative.	35,000	-	PC
La Jolla Playhouse	PO Box 12039 La Jolla, CA 92039	To participate in the Building Audiences for Sustainability initiative.	435,000	3,000	PC
Los Angeles Philharmonic Association	151 S Grand Ave Los Angeles, CA 90012	To participate in the Building Audiences for Sustainability initiative.	180,000	-	PC
Lyric Opera of Chicago	20 N Wacker Dr Chicago, IL 60606	To participate in the Building Audiences for Sustainability initiative.	115,000	-	PC
Lyric Opera of Chicago	20 N Wacker Dr Chicago, IL 60606	To participate in the Building Audiences for Sustainability initiative.	455,000	-	PC
New York Philharmonic	10 Lincoln Center Plaza New York, NY 10023	To participate in the Building Audiences for Sustainability initiative.	17,140	-	PC
New York Philharmonic	10 Lincoln Center Plaza New York, NY 10023	To continue participation in the Building Audiences for Sustainability initiative.	386,000	-	PC
Oakland East Bay Symphony	1440 Broadway Oakland, CA 94612	To continue participation in the Building Audiences for Sustainability initiative.	190,400	-	PC
Opera Philadelphia	1420 Locust St Philadelphia, PA 19102	To participate in the Building Audiences for Sustainability initiative.	240,000	25,000	PC
Opera Theatre of Saint Louis	210 Hazel Ave Saint Louis, MO 63119	To participate in the Building Audiences for Sustainability initiative.	474,250	-	PC
Opera Theatre of Saint Louis	210 Hazel Ave Saint Louis, MO 63119	To continue participation in the Building Audiences for Sustainability initiative.	413,000	-	PC
Pacific Northwest Ballet	301 Mercer St Seattle, WA 98109	To participate in the Building Audiences for Sustainability initiative.	200,000	15,000	PC
Pacific Northwest Ballet	301 Mercer St Seattle, WA 98109	To continue participation in the Building Audiences for Sustainability initiative.	-	275,000	PC
Pasadena Playhouse	600 Playhouse Alley Pasadena, CA 91101	To participate in the Building Audiences for Sustainability initiative.	35,000	-	PC
Pasadena Playhouse	600 Playhouse Alley Pasadena, CA 91101	To continue participation in the Building Audiences for Sustainability initiative.	170,000	200,000	PC
Portland Center Stage	128 NW 11th Ave Portland, OR 97209	To participate in the Building Audiences for Sustainability initiative.	250,000	24,000	PC
Research for Action, Inc.	100 S Broad St Philadelphia, PA 19110	To conduct a study examining the Boys & Girls Clubs of America's arts learning programs.	200,000	290,000	PC
Research for Action, Inc.	100 S Broad St Philadelphia, PA 19110	To extend the study of the Boys & Girls Clubs of America's arts learning programs.	200,000	-	PC
San Francisco Performances, Inc.	500 Sutter St San Francisco, CA 94102	To participate in the Building Audiences for Sustainability initiative.	30,000	-	PC

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San Francisco Performances, Inc.	500 Sutter St San Francisco, CA 94102	To continue participation in the Building Audiences for Sustainability initiative.	255,000	6,500	PC
Seattle Opera	1020 John St Seattle, WA 98109	To participate in the Building Audiences for Sustainability initiative.	35,000	-	PC
Seattle Opera	1020 John St Seattle, WA 98109	To continue participation in the Building Audiences for Sustainability initiative.	440,000	-	PC
Seattle Symphony Orchestra	PO Box 21906 Seattle, WA 98111	To participate in the Building Audiences for Sustainability initiative.	35,000	-	PC
Seattle Symphony Orchestra	PO Box 21906 Seattle, WA 98111	To continue participation in the Building Audiences for Sustainability initiative.	290,000	25,000	PC
Steppenwolf Theatre Company	1700 N Halsted St Chicago, IL 60614	To participate in the Building Audiences for Sustainability initiative.	655,500	-	PC
The University of Texas at Austin	PO Box 7726 Austin, TX 78713	To conduct an evaluation of the Building Audiences for Sustainability initiative.	-	2,300,000	Gov
University Musical Society	881 N University Ave Ann Arbor, MI 48109	To participate in the Building Audiences for Sustainability initiative.	60,000	-	PC
University Musical Society	881 N University Ave Ann Arbor, MI 48109	To continue participation in the Building Audiences for Sustainability initiative.	316,000	-	PC
Victory Gardens Theater	2433 North Lincoln Ave Chicago, IL 60614	To participate in the Building Audiences for Sustainability initiative.	70,000	-	PC
Victory Gardens Theater	2433 N Lincoln Ave Chicago, IL 60614	To continue participation in the Building Audiences for Sustainability initiative.	170,000	4,500	PC
Woolly Mammoth Theatre	641 D St NW Washington, DC 20004	To participate in the Building Audiences for Sustainability initiative.	20,000	-	PC
Woolly Mammoth Theatre	641 D St NW Washington, DC 20004	To continue participation in the Building Audiences for Sustainability initiative.	263,000	70,500	PC
World Music	720 Massachusetts Ave Cambridge, MA 02139	To participate in the Building Audiences for Sustainability initiative.	45,000	-	PC
World Music	720 Massachusetts Ave Cambridge, MA 02139	To continue participation in the Building Audiences for Sustainability initiative.	370,500	80,000	PC
Yerba Buena Center for the Arts	701 Mission St San Francisco, CA 94103	To support audience building efforts.	100,000	25,000	PC

**EDUCATION LEADERSHIP:** Our goal is to raise the quality of leadership by principals and other key school figures so they can improve teaching and learning in their schools. These grants support Wallace's Principal Pipeline, Principal Supervisor, and University Principal Preparation initiatives, which work with selected school districts and universities to improve training and support of principals and principal supervisors and then evaluate the results for students.

Albany State University	504 College Dr Albany, GA 31705	To participate in the University Principal Preparation initiative.	1,180,376	1,180,375	Gov
American Association of School Administrators	1615 Duke St Alexandria, VA 22314	To provide technical assistance for universities and districts participating in the University Principal Preparation initiative.	250,000	-	PC
American Association of School Administrators	1615 Duke St Alexandria, VA 22314	To serve as a Wallace communications partner.	150,000	-	PC
American Institutes for Research	1000 Thomas Jefferson St NW Washington, DC 20007	To conduct a professional learning community for participants in the University Principal Preparation initiative and another for participating states.	670,000	-	PC
American Institutes for Research	1000 Thomas Jefferson St NW Washington, DC 20007	To conduct policy analyses to inform the Every Student Succeeds Act work as it progresses.	180,000	-	PC

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Baltimore City Public Schools	200 E North Ave Baltimore, MD 21202	To continue to participate in the Principal Supervisor initiative.	500,000	-	Gov
Cleveland Board of Education	1111 Superior Ave Cleveland, OH 44114	To continue to participate in the Principal Supervisor initiative.	500,000	-	Gov
Council of Chief State School Officers	1 Massachusetts Ave NW Washington, DC 20001	To serve as a Wallace communications partner.	200,000	200,000	PC
Council of Chief State School Officers	1 Massachusetts Ave NW Washington, DC 20001	To develop and share ways states can use the Every Student Succeeds Act to support effective school leadership.	750,000	1,300,000	PC
Council of Chief State School Officers	1 Massachusetts Ave NW Washington, DC 20001	To support the creation of an online field guide to the federal Every Student Succeeds Act (ESSA).	50,000	-	PC
Council of the Great City Schools	1331 Pennsylvania Ave NW Washington, DC 20004	To guide districts participating in the Principal Supervisor initiative in carrying out improvements.	200,000	-	PC
Council of the Great City Schools	1331 Pennsylvania Ave NW Washington, DC 20004	To facilitate "cross-city action groups" for large urban districts to address implementation issues under the Every Student Succeeds Act.	550,000	-	PC
DC Public Education Fund	1534 14th St NW Washington, DC 20005	To participate in the Principal Supervisors Initiative as a "leading district".	-	30,000	PC
Des Moines Independent Community School District	901 Walnut St Des Moines, IA 50309	To continue to participate in the Principal Supervisor initiative.	500,000	-	Gov
Education Development Center, Inc.	43 Foundry Ave Waltham, MA 02453	To provide technical assistance for universities and districts participating in the University Principal Preparation initiative.	350,000	-	PC
Education Development Center, Inc.	43 Foundry Ave Waltham, MA 02453	To provide data and formative assessment on state university preparation programs to Wallace grantees participating in the University Principal Preparation initiative.	875,000	-	PC
Ethno Pictures NFP	4516 N Francisco Chicago, IL 60625	To support the Getting School Leadership Right project.	5,000	-	PC
Florida Atlantic University	777 Glades Rd Boca Raton, FL 33431	To participate in the University Principal Preparation initiative.	2,337,493	-	Gov
Illinois State University	310 Hovey Hall Normal, IL 61790	To continue to fund the Center for the Study of Education Policy to study new Teacher Leadership Endorsement programs.	29,000	-	Gov
Learning Forward	17330 Preston Rd Dallas, TX 75252	To serve as a Wallace communications partner.	175,000	175,000	PC
Long Beach Unified School District	1515 Hughes Way Long Beach, CA 90810	To continue to participate in the Principal Supervisor initiative.	500,000	-	Gov
National Association of Elementary School Principals Foundation	1615 Duke St Alexandria, VA 22314	To serve as a Wallace communications partner.	200,000	-	PC
National Association of Secondary School Principals	1904 Association Dr Reston, VA 20191	To develop and test a model for an Assistant Principal Professional Learning Community that NASSP can scale and sustain.	150,000	-	PC
National Association of State Boards of Education	333 John Carlyle St Alexandria, VA 22314	To serve as a Wallace communications partner.	300,000	-	PC
National Governors Association Center for Best Practices	444 N Capitol St Washington, DC 20001	To convene a task force of governors on how they can advance education leadership under the Every Student Succeeds Act.	345,000	-	PC
National Urban League, Inc.	120 Wall St New York, NY 10005	To partner with other Wallace-funded organizations in creating "cross action groups" at the state and local levels to inform the use of the Every Student Succeeds Act.	800,000	-	PC
New Teacher Center	110 Cooper St Santa Cruz, CA 95060	To provide technical assistance to the Principal Supervisor initiative professional learning community	75,000	75,000	PC

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North Carolina State University	203 Peele Hall Campus Raleigh, NC 27695	To participate in the University Principal Preparation initiative.	2,134,888	-	Gov
Prince George's County Board of Education	14201 School Ln Upper Marlboro, MD 20772	To support districts participating in the Principal Supervisor initiative on how to utilize VAL-ED assessment tool to shape principal training and other services.	400,000	-	Gov
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct a cost study of the Principal Pipeline initiative	-	769,928	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct a national survey of school principals.	10,000	-	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct a synthesis of the school leadership research that meets the Tiers 1-3 evidence definitions in the Every Student Succeeds Act	250,000	-	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct a scan of available evidence in education leadership that meets the definitions of rigor in the Every Student Succeeds Act	475,000	150,500	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct an evaluation of the University Principal Preparation initiative	1,000,000	50,000	PC
San Diego State University Foundation	5250 Campanile Dr San Diego, CA 92182	To participate in the University Principal Preparation initiative.	1,187,288	1,187,288	PC
Special School District No. 1	1250 W Broadway Minneapolis, MN 55411	To continue to participate in the Principal Supervisor initiative.	500,000	-	Gov
The Education Trust, Inc.	1250 H St NW Washington, DC 20005	To serve as a Wallace communications partner.	175,000	175,000	PC
The NYC Leadership Academy, Inc.	45-18 Court Square Long Island City, NY 11101	To conduct a professional learning community for districts participating in the Principal Supervisor initiative.	500,000	-	PC
The NYC Leadership Academy, Inc.	45-18 Court Square Long Island City, NY 11101	To conduct an Every Student Succeeds Act Leadership Learning Community at both the national and state level for ten states.	1,100,000	-	PC
The School Board of Broward County, Florida	610 NE 13th Ave Pompano Beach, FL 33060	To continue to participate in the Principal Supervisor initiative.	800,000	-	Gov
Tulsa Public School District No. 1	3027 S New Haven Ave Tulsa, OK 74114	To participate in the Principal Supervisors Initiative as a "leading district" and to develop a leadership tracking system.	150,000	180,000	Gov
University Council for Educational Administration	405 Emmet St S Charlottesville, VA 22903	To provide technical assistance to universities and districts participating in the University Principal Preparation initiative.	200,000	-	PC
University Council for Educational Administration	405 Emmet St S Charlottesville, VA 22903	To serve as a Wallace communications partner.	125,000	125,000	PC
University of Connecticut	343 Mansfield Road Mansfield, CT 06269	To participate in the University Principal Preparation initiative.	1,075,001	1,075,000	Gov
University of the State of New York	89 Washington Ave Albany, NY 12234	To explore how university preparation programs – working in partnership with high-needs school districts, exemplary preparation programs and the state – improve their training of future principals.	500,000	-	Gov
Virginia State University	1 Hayden Dr Petersburg, VA 23806	To participate in the University Principal Preparation initiative.	1,205,976	1,205,975	Gov
Western Kentucky University	1906 College Heights Blvd Bowling Green, KY 42101	To participate in the University Principal Preparation initiative.	1,785,000	-	PC

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<b>LEARNING &amp; ENRICHMENT:</b> Our goal is to improve summer learning opportunities and to enrich and expand the school day for disadvantaged children and teens to boost academic achievement. These grants support Wallace's Summer Learning District Demonstration Project, Afterschool Systems Building, and Partnerships for Social Emotional Learning initiatives.					
Afterschool Alliance	1616 H Street NW Washington, DC 20006	To serve as a Wallace Communications partner.	125,000	-	PC
All Hands Raised	2069 NE Hoyt St Portland, OR 97232	To participate in a study conducted by Teachers College at Columbia University that investigates whether and how communities work across sectors to generate large-scale educational reform.	25,000	-	PC
Big Thought	1409 S Lamar St Dallas, TX 75215	To support Dallas Independent School District's and Big Thought's 2016 Summer Achievers Academy and related activities to strengthen summer learning in Dallas.	465,000	-	PC
Big Thought	1409 S Lamar St Dallas, TX 75215	A planning grant for Wallace's Social and Emotional Learning initiative.	51,050	-	PC
Boston After School & Beyond, Inc.	89 South St Boston, MA 02111	To support Boston Public School's 2016 Summer Learning Program.	480,000	-	PC
Boston After School & Beyond, Inc.	89 South St Boston, MA 02111	A planning grant for Wallace's Social and Emotional Learning initiative.	51,050	-	PC
Boston Public Schools	2300 Washington St Roxbury, MA 02119	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov
Center for Strong Schools	1900 Commerce St Tacoma, WA 98402	To support site visits for the Social Emotional Learning initiative.	1,050	-	PC
Central Valley Afterschool Foundation	732 N Van Ness Ave Fresno, CA 93728	To support site visits for the Social Emotional Learning initiative.	1,050	-	PC
Chapin Hall Center for Children at the University of Chicago	1313 E 60th St Chicago, IL 60637	To capture insights into how cities make sense of data and apply it to improve the quantity of opportunities and programming.	350,000	99,999	PC
City of Grand Rapids, Our Community's Children	300 Monroe Ave NW Grand Rapids, MI 49503	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov
Collaborative for Academic, Social, and Emotional Learning	815 W Van Buren St Chicago, IL 60607	To bolster CASEL's communications infrastructure and to help CASEL develop knowledge products and platforms for dissemination.	375,000	300,000	PC
Collaborative for Academic, Social, and Emotional Learning	815 W Van Buren St Chicago, IL 60607	To assess the readiness and capacity of districts and out-of-school intermediaries to participate in the Social and Emotional Learning initiative.	370,000	-	PC
Collaborative for Academic, Social, and Emotional Learning	815 W Van Buren St Chicago, IL 60607	To provide technical assistance to cities receiving planning grants for the Social and Emotional Learning initiative.	1,100,000	-	PC
Collaborative for Academic, Social, and Emotional Learning	815 W Van Buren St Chicago, IL 60607	To support the Establishing Practical Social-Emotional Competence Assessments of Preschool to High School Students project.	87,000	174,000	PC
Collaborative for Academic, Social, and Emotional Learning	815 W Van Buren St Chicago, IL 60607	Additional funds to provide technical assistance to cities receiving planning grants for the Social and Emotional Learning initiative.	85,812	-	PC
Coro New York Leadership Center	42 Broadway New York, NY 10004	To support the development of a three-day leadership training program for Community Schools Directors in New York City.	15,000	-	PC
Dallas Independent School District	3700 Ross Ave Dallas, TX 75204	To support Dallas Independent School District's and Big Thought's 2016 Summer Achievers Academy and related activities to strengthen summer learning in Dallas.	465,000	-	Gov
Dallas Independent School District	3700 Ross Ave Dallas, TX 75204	A planning grant for Wallace's Social and Emotional Learning initiative.	-	50,000	Gov
Denver Afterschool Alliance	201 W Colfax Ave Denver, CO 80202	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov



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Denver Public Schools Foundation	1860 Lincoln St Denver, CO 80203	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	PC
ExpandED Schools, Inc.	1440 Broadway New York, NY 10018	To support Every Hour Counts outcomes tracking project.	430,000	25,000	PC
ExpandED Schools, Inc.	1440 Broadway New York, NY 10018	To support an evaluation of the organization.	-	59,000	PC
ExpandED Schools, Inc.	1440 Broadway New York, NY 10018	A planning grant for Wallace's Social and Emotional Learning initiative.	51,050	-	PC
Forum of Regional Associations of Grantmakers	1020 19th St NW Washington, DC 20036	Sponsorship of the Annual Conference in Indianapolis.	11,500	-	PC
Fund for Philadelphia Inc.	1401 John F Kennedy Blvd Philadelphia, PA 19107	To support the development of a plan to expand and strengthen a citywide system of quality out-of-school time supports.	145,000	-	PC
Fund for Philadelphia Inc.	1401 John F Kennedy Blvd Philadelphia, PA 19107	To cover expenses for four people to attend a convening of grantees participating in Wallace's Afterschool System Building initiative.	5,000	-	PC
Fund for the City of New York	121 6th Ave New York, NY 10013	To support refining the Student Success Network's approach to social skills measurement.	25,000	-	PC
Grand Rapids Public Schools	1331 Franklin SE Grand Rapids, MI 49501	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov
Grantmakers for Education	851 SW 6th Ave Portland, OR 97204	To support the completion of a guide to grantmakers on funding to improve the quality of after-school and other out-of-school-time programming.	10,200	-	PC
Grantmakers for Education	851 SW 6th Ave Portland, OR 97204	To support the costs of Grantmakers for Education Out of School Time Funders Network	10,000	-	PC
Greater Milwaukee Foundation, Inc.	101 W Pleasant St Milwaukee, WI 53212	To participate in a study conducted by Teachers College at Columbia University that investigates whether and how communities work across sectors to generate large-scale educational reform.	35,000	-	PC
Greater Tacoma Community Foundation	950 Pacific Ave Tacoma, WA 98402	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	PC
Harvard University	Massachusetts Hall Cambridge, MA 02138	To produce four public reports on evidence-based social and emotional learning programs.	100,000	100,000	PC
Harvard University	Massachusetts Hall Cambridge, MA 02138	To provide support for Project READS operations during 2016-2018.	100,000	86,449	PC
Harvard University	Massachusetts Hall Cambridge, MA 02138	To support Harvard's Taxonomy Project to Identify, describe, and analyze connections among various existing social and emotional learning frameworks and terms, develop and promote the use of an online tool illustrating those connections.	-	55,000	PC
Jacksonville Public Education Fund	40 E Adams St Jacksonville, FL 32202	To facilitate a planning process to develop a shared vision and plan for summer learning and OST in Jacksonville.	75,100	-	PC
Kennesaw State University Research and Service Foundation, Inc.	1000 Chastain Rd Kennesaw, GA 30144	To support Youth Today as a media sponsorship.	200,000	-	SO I
MDRC	16 E 34th St New York, NY 10016	To extend the evaluation of the Communities in Schools project (to effectively capitalize and expand evidence-based programs to serve more low-income young people).	-	50,000	PC
National AfterSchool Association	2961A Hunter Mill Rd Oakton, VA 22124	To serve as a Wallace Communications partner.	125,000	-	PC

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National Council of YMCAs of the USA	101 N Wacker Dr Chicago, IL 60606	To fund the Y-BELL Power Scholars Academy.	2,839,000	1,794,000	PC
National Public Education Support Fund	1900 L Street NW Washington, DC 20036	To support Grantmakers for Thriving Youth, which supports the development of skills and capacities for learning, work, citizenship and life.	15,000	5,000	PC
Neighborhood Leadership Institute	5246 Broadway Ave Cleveland, OH 44127	To support site visits for the Social Emotional Learning initiative.	1,050	-	PC
Oakland Unified School District	1000 Broadway Oakland, CA 94607	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov
Partnership for Children and Youth	1330 Broadway Oakland, CA 94612	A planning grant for Wallace's Social and Emotional Learning initiative.	51,050	-	PC
Pittsburgh Public Schools	341 S Bellefield Ave Pittsburgh, PA 15213	To support Pittsburgh Public Schools' 2016 Summer Dreamers Academy and related activities to strengthen summer learning in Pittsburgh.	480,000	-	Gov
Prime Time Palm Beach County	2300 High Ridge Rd Boynton Beach, FL 33426	A planning grant for Wallace's Social and Emotional Learning initiative.	51,050	-	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	Additional funds to support the Summer Learning District Demonstration project evaluation.	250,000	2,700,813	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct a policy-related review of the social and emotional learning research that meets the Tiers 1-4 evidence definitions in the Every Student Succeeds Act.	800,000	110,000	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To conduct an evaluation of the Social and Emotional Learning initiative.	1,000,000	6,500,000	PC
RAND Corporation	1776 Main St Santa Monica, CA 90401	To build an online database of measures of social and emotional learning competencies to be used by educators, researchers, and policymakers.	120,000	-	PC
Rochester Area Community Foundation	500 East Ave Rochester, NY 14607	To support Rochester City School District's 2016 Summer Learning Program.	117,340	-	PC
Rochester City School District	131 W Broad St Rochester, NY 14614	To support Rochester City School District's 2016 Summer Learning Program.	349,945	-	Gov
Say Yes To Education, Inc.	320 Park Ave New York, NY 10022	Implementation grant for Say Yes to Education-Buffalo.	500,000	-	PC
School District of Palm Beach County	3300 Forest Hill Blvd West Palm Beach, FL 33406	A planning grant for Wallace's Social and Emotional Learning initiative.	-	50,000	Gov
School's Out Washington	801 23rd Ave S Seattle, WA 98144	To support site visits for the Social Emotional Learning initiative.	1,050	-	PC
Tacoma Public Schools	601 S 8th St Tacoma, WA 98405	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov
Teachers College, Columbia University	525 W 120th St New York, NY 10027	To conduct a comparative study of whether and how major community institutions across multiple sectors can work together to tackle education reform.	-	99,000	PC
Teachers College, Columbia University	525 W 120th St New York, NY 10027	To create mini-case studies emerging from the collective impact study.	60,500	60,500	PC
The Forum for Youth Investment	7064 Eastern Ave NW Washington, DC 20012	To assess the readiness and capacity of districts and out-of-school intermediaries to participate in the Social and Emotional Learning initiative.	190,400	-	PC

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The Forum for Youth Investment	7064 Eastern Ave NW Washington, DC 20012	To provide technical assistance to cities receiving planning grants for the Social and Emotional Learning initiative.	1,360,000	-	PC
The Forum for Youth Investment	7064 Eastern Ave NW Washington, DC 20012	To support professional learning community activities for the After School Systems Building initiative.	56,600	-	PC
The Fund for Public Schools, Inc.	52 Chambers St New York, NY 10007	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	PC
The School Board of Duval County, Florida	1701 Prudential Dr Jacksonville, FL 32207	To support Duval County Public School's 2016 Super Summer Academy and related activities to strengthen summer learning in Duval County.	480,000	-	Gov
Tulsa Public School District No. 1	3027 S New Haven Ave Tulsa, OK 74114	A planning grant for Wallace's Social and Emotional Learning initiative.	50,000	-	Gov
Urban Arts Partnership	21 Howard St New York, NY 10013	To develop a sustainable business model.	100,000	-	PC
WINGS for Kids	476 Meeting St Charleston, SC 29403	To support program improvements informed by their RCT and implementation findings as well as to help manage the final stage of the RCT, including the framing of the research.	100,000	-	PC
YMCA of Greater Tulsa	420 S Main St Tulsa, OK 74103	A planning grant for Wallace's Social and Emotional Learning initiative.	51,050	-	PC
<b>FOUNDATIONWIDE - Services to the Field of Philanthropy</b>					
Asian American-Pacific Islanders in Philanthropy	300 Frank H Ogawa Plaza Oakland, CA 94612	General support	10,000	-	PC
Association of Black Foundation Executives	42 Broadway New York, NY 10004	General support	10,000	-	PC
Communications Network	718 7th St NW Washington, DC 20001	General support	15,000	-	PC
Council on Foundations, Inc.	2121 Crystal Dr Arlington, VA 22202	General support	23,500	-	PC
Education Commission of the States	700 Broadway Denver, CO 80203	To support the work of the Arts Education Partnership.	25,000	-	PC
FJC	520 8th Ave New York, NY 10018	To support 2016 program activities of the New York City Youth Funders.	3,000	-	PC
Grantmakers for Education	851 SW 6th Ave Portland, OR 97204	General support	24,500	-	PC
Grantmakers for Effective Organizations	1725 DeSales St NW Washington, DC 20036	General support	12,000	-	PC
Grantmakers in the Arts	4055 21st Ave W Seattle, WA 98199	General support	21,500	-	PC
Grants Managers Network	1666 K St NW Washington, DC 20006	General support	7,000	-	PC
Hispanics In Philanthropy	414 13th St Oakland, CA 94612	General support	10,000	-	PC
Independent Sector	1602 L St NW Washington, DC 20036	To encourage adoption of an updated set of ethics and governance principles for nonprofits.	110,000	110,000	PC

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Independent Sector	1602 L St NW Washington, DC 20036	General support	10,000	-	PC
Innovation Network, Inc.	1625 K St NW Washington, DC 20006	To support the Evaluation Roundtable.	50,000	-	PC
National Public Education Support Fund	1900 L St NW Washington, DC 20036	To support the Education Funder Strategy Group.	25,000	-	PC
National Public Radio, Inc.	1111 N Capitol St NE Washington, DC 20002	To support coverage of Wallace's program areas through stories undertaken by NPR's arts and education desks.	-	1,078,714	PC
Nonprofit Coordinating Committee of New York	135 W 36th St New York, NY 10018	General support	3,000	-	PC
Philanthropy New York	1500 Broadway New York, NY 10036	To support the principal professional community of philanthropies in the New York City region	27,250	-	PC
Society for Research on Educational Effectiveness	2040 Sheridan Rd Evanston, IL 60208	General support	10,000	-	PC
Teachers College, Columbia University	525 W 120th St New York, NY 10027	Media sponsorship	100,000	-	PC
The Center for Effective Philanthropy	675 Massachusetts Ave Cambridge, MA 02139	General support	100,000	-	PC
Tides Center	PO Box 29198 San Francisco, CA 94129	To support Emerging Practitioners in Philanthropy.	12,500	-	PC
<b>MATCHING GIFTS PROGRAM</b>					
ALS Foudation	1275 K St NW Washington, DC 20005	Employee Matching Gift-General support	400	-	PC
American Cancer Society	250 Williams St Atlanta, GA 30303	Employee Matching Gift-General support	320	810	PC
American Friends Service Committee	1501 Cherry St Philadelphia, PA 19102	Employee Matching Gift-General support	300	400	PC
American Heart Association	5 Brookside Dr Wallingford, CT 06492	Employee Matching Gift-General support	150	-	PC
American Refugee Committee	615 1st Ave NE Minneapolis, MN 55413	Employee Matching Gift-General support	-	1,000	PC
Barrier Free Living	270 E 2nd St New York, NY 10009	Employee Matching Gift-General support	-	50	PC
BAX/ Brooklyn Arts	421 5th Ave Brooklyn, NY 11215	Employee Matching Gift-General support	300	-	PC
Black Lives Matter/ IDEX	2120 University Ave Berkeley, CA 94704	Employee Matching Gift-General support	-	317	PC
Brooklyn Legal Services Corporation A	260 Broadway Brooklyn, NY 11211	Employee Matching Gift-General support	450	-	PC
Brooklyn Museum	200 Eastern Parkway Brooklyn, NY 11238	Employee Matching Gift-General support	-	13,400	PC
Brooklyn Public Library	10 Grand Army Plaza Brooklyn, NY 11238	Employee Matching Gift-General support	-	300	PC

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Chalkbeat	1250 Broadway New York, NY 10001	Employee Matching Gift-General support	-	409	PC
Children International	2000 E Red Bridge Rd Kansas City, MO 64131	Employee Matching Gift-General support	200	-	PC
Citizens Union Foundation of the City of New York	299 Broadway New York, NY 10007	Employee Matching Gift-General support	2,000	3,400	PC
Coalition For Asian American Children & Families	50 Broad Street New York, NY 10004	Employee Matching Gift-General support	15,000	-	PC
Coby College	4335 Mayflower Hill Waterville, ME 04901	Employee Matching Gift-General support	200	-	PC
College Community Services, Inc	PO Box 100843 Brooklyn, NY 11210	Employee Matching Gift-General support	-	150	PC
Community Help in Park Slope (CHIPS)	200 4th Ave Brooklyn, NY 11217	Employee Matching Gift-General support	-	200	PC
Compassion International	12290 Voyager Parkway Colorado Springs, CO 80997	Employee Matching Gift-General support	-	1,096	PC
Congenital Adrenal Hyperplasia Research Education & Support Found	2414 Morris Ave Union, NJ 07083	Employee Matching Gift-General support	4,000	-	PC
Cornelia Connelly Center	220 E 4th St New York, NY 10009	Employee Matching Gift-General support	-	2,000	PC
Coro New Leadership Center	42 Broadway New York, NY 10004	Employee Matching Gift-General support	-	200	PC
Deerfield Academy	PO Box 306 DeerField, Ma 01342	Employee Matching Gift-General support	150	-	PC
Denver Dumb Friends League	2080 S Quebec St Denver, CO 80231	Employee Matching Gift-General support	120	-	PC
Down East Lakes Land Tr	4 Water St Grand Lake Stream, ME 04668	Employee Matching Gift-General support	100	-	PC
Eagle Academy Foundation	31 W 125th St New York, NY 10027	Employee Matching Gift-General support	200	-	PC
Earth Justice	50 California St San Francisco, CA 94111	Employee Matching Gift-General support	-	50	PC
Edible Schoolyard New Orleans	300 N Broad St New Orleans, LA 70119	Employee Matching Gift-General support	500	-	PC
Equal Justice Initiative	122 Commerce St Montgomery, AL 36104	Employee Matching Gift-General support	-	400	PC
Exodus Transitional Community	2271 3rd Ave New York, NY 10035	Employee Matching Gift-General support	200	-	PC
Friends and Family of PS11	320 W 21st St New York, NY 10011	Employee Matching Gift-General support	-	1,000	PC
Friends of John Jay Homestead	PO Box 148 Katonah, NY 10536	Employee Matching Gift-General support	-	500	PC
Friends of Rosemont College Library	1400 Montgomery Ave Rosemont, PA 19010	Employee Matching Gift-General support	300	-	PC

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Gowanus Urban Design Community Advocacy, Inc.	540 President St Brooklyn, NY 11215	Employee Matching Gift-General support	-	60	PC
Hamilton College	198 College Hill Rd Clinton, NY 13323	Employee Matching Gift-General support	100	100	PC
Hampshire College	893 West St Amherst, Ma 01002	Employee Matching Gift-General support	500	-	PC
Heifer International	1 Worl Ave Little Rock, AR 72202	Employee Matching Gift-General support	-	60	PC
Hispanic League	690 Coliseum Dr Winston-Salem, NC 27106	Employee Matching Gift-General support	-	250	PC
Historic Huguenot Street	81 Huguenot St New Paltz, NY 12561	Employee Matching Gift-General support	-	200	PC
Hudson Guild	459 W 26th St New York, NY 10001	Employee Matching Gift-General support	5,000	-	PC
Independent Group Home Living Program, Inc (Angela's House)	221 N Sunrise Service Rd Manorville, NY 11949	Employee Matching Gift-General support	50	-	PC
Indianapolis Museum of Art	4000 Michigan Rd Indianapolis, IN 46208	Employee Matching Gift-General support	2,000	1,600	PC
John Theissen Children Foundation	1881 Wantagh Ave Wantagh, NY 11793	Employee Matching Gift-General support	200	-	PC
MADRE	121 W 27th St New York, NY 10001	Employee Matching Gift-General support	50	-	PC
Manhattan Country School	150 W 85th St New York, NY 10024	Employee Matching Gift-General support	-	1,000	PC
Memorial Sloan-Kettering Cancer Center	1275 York Ave New York, NY 10065	Employee Matching Gift-General support	250	-	PC
November Foundation	PO Box 1595 Culver City, CA 90232	Employee Matching Gift-General support	103	-	PC
MSF USA (Doctors without Borders USA, Inc.)	333 7th Ave New York, NY 10001	Employee Matching Gift-General support	100	-	PC
NAACP Legal Defense and Educational Fund, Inc.	40 Rector St New York, NY 10005	Employee Matching Gift-General support	-	70	PC
National Parks Conservation Association	1110 Vermont Ave NW Washington, DC 20005	Employee Matching Gift-General support	-	50	PC
New Visions for Public Schools	205 E 42nd St New York, NY 10017	Employee Matching Gift-General support	4,000	-	PC
New Women New Yorkers	601 W 26th St New York, NY 10001	Employee Matching Gift-General support	700	100	PC
New York Choral Society	119 W 57th St New York, NY 10019	Employee Matching Gift-General support	900	450	PC
New York Civil Liberties Union Foundation, Inc.	125 Broad St New York, NY 10004	Employee Matching Gift-General support	-	50	PC
New York Public Radio	160 Varick St New York, NY 10013	Employee Matching Gift-General support	800	470	PC

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Northern Virginia Community College Educational Foundation, Inc.	4001 Wakefield Chapel Rd Annandale, VA 22003	Employee Matching Gift-General support	10,000	-	PC
NY Women's Foundation	39 Broadway New York, NY 10006	Employee Matching Gift-General support	185	-	PC
NY Writers Coalition, Inc.	80 Hanson Pl Brooklyn, NY 11217	Employee Matching Gift-General support	-	100	PC
Pace University Annual Fund	1 Pace Plaza New York, NY 10038	Employee Matching Gift-General support	100	-	PC
Packer Collegiate Institute	170 Joralemon St Brooklyn, NY 11201	Employee Matching Gift-General support	200	-	PC
Park Slope Schoolhouse Child Care	556 5th Ave Brooklyn, NY 11215	Employee Matching Gift-General support	200	200	PC
Planned Parenthood Federation of America	26 Bleecker St New York, NY 10012	Employee Matching Gift-General support	-	1,000	PC
Police Athletic League	34 1/2 East 12th St New York, NY 10003	Employee Matching Gift-General support	150	-	PC
Project Prakash Project	955 Massachusetts Ave Cambridge, MA 02139	Employee Matching Gift-General support	450	-	PC
Rosemont College	1400 Montgomery Ave Rosemont, PA 19010	Employee Matching Gift-General support	11,000	-	PC
Running Strong for American Indian Youth	8301 Richmond Hwy Alexandria, VA 22309	Employee Matching Gift-General support	800	1,000	PC
Second Chance Rescue, Inc.	PO Box 570701 Whitestone, NY 11357	Employee Matching Gift-General support	100	-	PC
Southern Poverty Law Center	400 Washington Ave Montgomery, AL 36177	Employee Matching Gift-General support	-	300	PC
Special Olympics	1 Eunice Kennedy Shriver Way Lawrenceville, NJ 08648	Employee Matching Gift-General support	100	-	PC
St. Jude Children Research Hospital	501 St. Jude Pl Memphis, TN 38105	Employee Matching Gift-General support	600	-	PC
T.J. Martell Foundation	260 Madison Ave New York, NY 10016	Employee Matching Gift-General support	200	200	PC
TASSC International	4121 Harewood Road NE Washington, DC 20017	Employee Matching Gift-General support	-	400	PC
The Children's Aid Society	711 Third Avenue New York, NY 10017	Employee Matching Gift-General support	-	200	PC
The Eagle Academy Foundation	31 W 125th St New York, NY 10027	Employee Matching Gift-General support	-	200	PC
The Family Center	PO Box 1825 Columbus, GA 31902	Employee Matching Gift-General support	400	-	PC
The Graduate Center Foundation, Inc.	365 5th Ave New York, NY 10016	Employee Matching Gift-General support	-	200	PC
The Nature Conservancy	4245 N Fairfax Dr Arlington, VA 22203	Employee Matching Gift-General support	-	92	PC

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The Old Stone House	336 Third Street Brooklyn, NY 11215	Employee Matching Gift-General support	-	500	PC
The PTA of P.S. 133K Inc.	610 Baltic St Brooklyn, NY 11217	Employee Matching Gift-General support	300	-	PC
The Trustees of the Smith College	33 Elm St Northampton, MA 01063	Employee Matching Gift-General support	150	-	PC
Thirteen- WNET	825 8th Ave New York, NY 10019	Employee Matching Gift-General support	200	200	PC
Thomas Edison State University Foundation	111 W State St Trenton, NJ 08608	Employee Matching Gift-General support	100	-	PC
University of California Berkeley	2080 Addison St Berkeley, CA 94720	Employee Matching Gift-General support	200	-	PC
University of Virginia Darden School Foundation	PO Box 400894 Charlottesville, VA 22907	Employee Matching Gift-General support	100	-	PC
Wagner Middle School 167 Parents Association	220 E 76th St New York, NY 10021	Employee Matching Gift-General support	-	1,000	PC
Washington & Lee University	204 W Washington St Lexington, VA 24450	Employee Matching Gift-General support	50	-	PC
World Vision, LLC	34834 Weyerhaeuser Way S Federal Way, WA 98063	Employee Matching Gift-General support	840	840	PC
			<b>\$ 53,344,131</b>	<b>\$ 26,148,792</b>	